





ROC'd PET/PTO 16 DEC 2004
GB 03 2572
10/518189

PRIORITY
DOCUMENT
SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) (3) (b)

The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

REC'D 15 AUG 2003

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.

Signed

Dated

23 July 2003

BEST AVAILABLE COPY

An Executive Agency of the Department of Trade and Industry



17 JUN 2002

The **Patent Office**

LONDON

Request for grant of a patent (See the notes on the back of this form. You can also get an explanatory leaflet, from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road Newport Gwent NP9 1RH

	•	GW	ent NP9 1RH
1.	Your reference	LB1538 18JUN02 E726582-1	002806
2.	Patent application number (The Patent Office will fill in this part)	0213951.7	351.7
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	Royal Holloway University of London Egham Surrey TW20 0EX	N 2002 j び ⁱ
	Patents ADP number (if you know it)	D	
•	If the applicant is a corporate body, give the country/state of its incorporation	United Kingdom	
4.	Title of the invention	Screening Apparatus	
5.	Name of your agent (if you have one)	Barker Brettell	
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	10-12 Priests Bridge LONDON SW15 5JE	
	Patents ADP number (if you know it)	7442494003	D . CD'!
6.	If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number	e (y you know ny	Date of Filing (day/month/year)
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application	Number of earlier application	Date of filing (day/month/year)
8.	Is a statement of inventorship and of right to grant of a patent required in support of this request (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or b) there is an inventor who is not named as an applicant, or c) any named applicant is a corporate body. See note (d))	Yes	

Patents Form 1/77

9.	Er the number of sheets for any of the			
	for wing items you are filing with this form.			
	Do not count copies of the same document			
	Continuation sheets of this form			

Description 7

Claim(s) 3

Abstract 1

Drawing(s) 1 x

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to 1 grant of a patent (Patents Form 7/77)

Request for preliminary examination 1
(Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

I/We request the grant of a patent on the basis of this application.

Signature '

Barker Brettell

17 June 2002

Name and daytime telephone number of person to contact in the United Kingdom

Lance Butler

Tel: 020 8392 2234

Yarning

11.

Ifter an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or ommunication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be rformed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Lingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written ermission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Lingdom for a patent for the same invention and either no direction prohibiting publication or communication has been iven, or any such direction has been revoked.

lotes

If you need help to fill in this form or you have any questions, please contact the Patent Office on 01645 500505

Write your answers in capital letters using black ink or you may type them.

'If you have answered 'Yes' Patents Form 7/77 will need to be filed.

Once you have filled in the form you must remember to sign and date it.

For details of the fee and ways to pay please contact the Patent Office.

If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see intinuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.

SCREENING APPARATUS

This invention concerns improvements in or relating to screening apparatus and in particular although not exclusively has reference to security screening apparatus.

It is well known to scan people and objects non-intrusively to ascertain their interior structures or contents and to identify areas of potential hazard or danger in either the medical or security sense.

10

15

5

Conventionally, X-ray equipment has successfully been used for these purposes, but in recent years there has become an increasing need to provide more comprehensive, in particular three-dimensional images than those provided by the two-dimensional X-ray. For example, in the medical field CT scanning has been introduced to provide detailed mapping of various parts of the body on an intensive basis, namely by providing cross-sectional images. However, such scanning procedures involve the use of very costly equipment and are extremely expensive to operate.

20

25

In the security field the adoption of CT scanning is clearly an option but its cost implications render it an unlikely candidate for adoption.

One of the problems attendant upon conventional X-ray security scanning is its limitation in terms of being unable *per se* to provide detailed imaging of baggage contents particularly when they are stacked for example in a suitcase since they are superimposed one on the other and the images are thus occluded.

30 One previous attempt to provide a security scanning device using X-ray technology is that taught by Robinson in European Patent Application 0

261 984 in which he proposes a binocular stereoscopic X-ray inspection system. His system involves the inspection of objects passing successively under two X-ray beams, and over two respective line-array detectors upon which the beams fall. The two beams are set at an angle to one another in the plane parallel to the path of movement so as to capture left and right perspective views of each object on the line-scan principle. The views are stored in respective frame stores the video information from which they are displayed stereoscopically on a special monitor. This procedure, however, requires the use of electro-optic viewing spectacles which are controlled by the video system. Accordingly the 3D image is generated essentially by the operator rather than by the scanning equipment as such.

5

10

15

It is an object of the present invention to provide an improved method of scanning and a scanning device therefor which affords a 3D image viewing capability in the absence of any special interactive equipment dedicated to use by the operator and independent of the perceptual system of the operator creating the depth information.

According to a first aspect of the present invention there is provided a method of scanning including the steps of projecting two X-ray beams towards a moving or static object, sensing the images generated from the X-ray beams, detecting two spatial dimensions from the images, developing motion and intensity maps from the two spatial dimensions thereby to generate by the use of algorithms the third spatial dimension and to provide a data set for the construction of a 3D image for display on a viewing monitor.

In the case of static images generated by two line scanners, the disparity map for the intensity maps is calculated from two parallel detector arrays and converted into depth coordinates using conventional scereo-algorithms.

and the fixed geometry of the equipment, giving two image arrays representing views from different angles. Trucco & Verri 1998, Introductory Techniques for 3D Computer Vision, Prentice Hall Publications, New Jersey provide some software solutions for stereo vision in this context.

In the case of a moving object, for example being carried by a conveyor belt, due to the motion of the objects on the conveyor belt, the disparity information can be replaced by time delay information. In one embodiment of the present invention the method includes the steps of developing the third spatial dimension from moving representations of the flat screened object by calculating motion parallax maps for the intensity map which can be converted into depth coordinates using the fixed geometry of the conveyor belt or calibration markers on the belt.

In both cases the data set is generated and comprises 3D-coordinates for all visible object contours from which parallel projections in the three cardinal directions can be constructed. In a further development software may be provided to allow real-time rotation of the 3D data set to permit continuous manipulation of the viewing angle by the operator.

Algorithms may be incorporated in the computer software to allow the 3D images of the scanned object stored in the computer memory to be transferred into projection images, such as top, side, or front elevations using trigonometric transformations such for example as Euler transformations. The same algorithms allow the adoption of any viewing angle, controlled by the operator, for instance by means of a joystick, the two degrees of freedom of the joystick determining the elevation and azimuth of the viewing perspective, namely of the projection plane. Proprietary polygonal object modelling and rendering techniques may additionally be used to enhance visualisation. For example those disclosed

by Foley et al 'Computer Graphics, Principles and Practice', Addison Wesley, 1997.

According to a second aspect of the present invention there is provided a X-ray scanning device for a static or moving object including an X-ray source providing two or more X-ray beams, and a sensor array provided for each beam, the arrays being displaced spatially one from the other, the arrays being adapted to generate two two-dimensional images, a computer incorporating software adapted to calculate a third, depth dimension thereby to create a 3D image of the object, and a monitor for displaying the 3D image.

The scanning device may incorporate a conveyor belt for carrying the object for scrutiny and the sensor arrays are spatially disposed to capture two images of the moving object to generate an intensity map and a motion map.

The conveyor belt may be provided with calibration markers to provide a self-calibrating system.

By way of example only one method of scanning an object and a device therefor according to the invention are described below with reference to the accompanying drawings in which:

Figure 1 is a schematic diagram of the device; and Figure 2 is a sketch showing the geometric analysis of the method.

Referring to the drawings, there is provided an X-ray scanning device 1 employed for the security scanning of baggage, the device being associated with a conveyor belt 2 beneath which is disposed an X-ray source 4 for projecting two non-parallel X-ray beams 6. 8 upwardly

20

25

30

10

15

through the belt 2, the angle between the beams 6, 8 determining the quality of 3D reconstruction.

A linear sensor array 10, 12 designated LSA1 and LSA2 is provided above the belt for sensing each of the beams 6, 8 respectively, the arrays being spatially separated one from the other.

The time that the projection of an object O needs to be shifted from LSA1 to LSA2, Δt depends on the perpendicular distance D between the X-ray source 4, XRS, and the object.

10

15

20

In use an object O is carried on the conveyor belt 2 and is subjected to the X-ray beams 6, 8. The object O is travelling with the speed of the conveyor belt VCB across a distance Δx in a time interval Δt , determined by VCB = $\Delta x/\Delta t$. The projection of O on the image plane defined by the two sensor arrays LSA1 and LSA2, in the same time interval Δt travels across the distance ΔLSA , leading to an image speed VLSA = $\Delta LSA/\Delta t$. Similar triangles relate the object distance from XRS, X-ray source 4, D, and the height of the sensors above XRS, H, by the equations $\Delta x/D = \Delta LSA/H$ and VCB/D = VLSA/H. From this relationship the object distance D = H * VCB/VLSA can be derived from the known height H and conveyor belt speed VCB by measuring image speed VLSA.

By taking into account these simple geometrical relationships, depth can therefore be reconstructed from the input signals of two corresponding sensors in the line cameras, using simple motion detector algorithms that can be cheaply implemented in 1D or 2D-arrays, see for example Zanker et al 1999 'Speed tuning in elementary motion detectors of the correlation type' Biological Cybernetics 80, 109-116 and Zanker et al 1997 'A two-

dimensional motion detector model (2DMD) responding to artificial and natural image sequences' *Investigative Ophthalmology and Visual Science 38*, S 936. A further reference of interest is concerned with biologically motivated motion detection algorithms: recovering motion by detecting spatiotemporal correlation (Reichardt, 1961 "Autocorrelation, a principle for the evaluation of sensory information by the central nervous system", in *Sensory Communication* Ed Rosenblith, pp 303-317

5

15

20

25

The representation quality may be improved by a number of additional steps, such as using more than two input elements, or by optimising the source-sensor geometry.

It is to be understood other speed algorithms may be employed in the practice of the invention such as those commonly used in machine vision, thus for example:

Conventional machine vision approach: matching image regions by determining the displacement maximising the correlation between two image regions (Benayoun, Ayache, 1998, Dense Non-Rigid Motion Estimation in Sequences of Medical Images Using Differential Constraints, Int. J. Comp. Vision 26 25-40).

Gradient-type motion detection algorithms: recovering speed by means of filters solving the general motion equation (Srinivasan, 1990, Generalized Gradient Schemes for the Measurement of Two-Dimensional Image Motion, Biol. Cybern. 63 421-431; Johnston, McOwan, Benton, 1999, Robust velocity computation from a biologically motivated model of motion perception, Proc. R. Soc. Lond B 266 509-518).

The advantage of the present invention resides in the use of relatively cheap software rather than the more complicated and thus more expensive hardware approaches of the prior art.

A further advantage of the present invention is the construction of depth information does not rely on the perception of the operator, but is automated and thus allows for objective classification and easy communication and storage.

5

10

The present invention has a principal application in the field of security scanning as used at airports and points of entry, or in public buildings generally. However, the scanning technique and the device can also be used for medical scanning. It can also have application generally for example in scanning objects in a desktop environment to generate wireframe models.

CLAIMS

- 5 1. A method of scanning using X-ray equipment, including the steps of projecting two X-ray beams towards a moving or static object, sensing the images generated from the X-ray beams, detecting two spatial dimensions from the images, developing motion and intensity maps from the two spatial dimensions thereby to generate by the use of algorithms the third spatial dimension and to provide a data set for the construction of a 3D image for display on a viewing monitor.
 - 2. A method according to Claim 1 in which the object is carried on a conveyor belt.

3. A method according to Claim 2 and including the step of developing the third spatial dimension from moving representations of the flat screened object by calculating motion parallax maps for the intensity map which can be converted into depth coordinates using the fixed geometry of the conveyor belt or calibration markers on the conveyor belt.

- 4. A method according to Claim 1 in which for two static images generated by the line scanners, the disparity map for the intensity maps is calculated from two parallel detector arrays and converted into depth coordinates using conventional stereo-algorithms and the fixed geometry of the X-ray equipment.
- 5. A method according to any one of the preceding claims in which the data set is generated and comprises 3D coordinates for all visible

15

20

25

object contours from which parallel projections in the three cardinal directions can be constructed.

- 6. A method according to any one of the preceding claims in which algorithms are provided to allow real-time rotation of the 3D data set to permit continuous manipulation for the viewing angle by the operator.
- 7. A method according to any one of the preceding claims in which algorithms are provided to allow the 3D images of the scanned object to be transferred into projection images.
 - 8. A method according to Claim 7 in which the algorithms are adapted to allow the adoption of any viewing angle.
 - 9. A method of scanning substantially as hereinbefore described with reference to the accompanying drawings.
- 10. An X-ray scanning device for a static or moving object for use in the
 20 method according to any one of the preceding claims including an Xray source providing two or more X-ray beams, and a sensor array
 provided for each beam, the arrays being displaced spatially one from
 the other, the arrays being adapted to generate two two-dimensional
 images, a computer incorporating software adapted to calculate a
 third, depth dimension thereby to create a 3D image of the object, and
 a monitor for displaying the 3D image.
 - 11. A device according to Claim 10 in which the device includes a conveyor belt for carrying the object, and the sensor arrays are spatially disposed to capture two images of the moving object to generate an intensity map and a motion map.

15

30

5

- 12. A device according to Claim 11 in which the conveyor belt is provided with calibration markers to provide a self-calibrating system.
- 5 13. A scanning device substantially as hereinbefore described with reference to the accompanying drawings.

ABSTRACT OF THE INVENTION

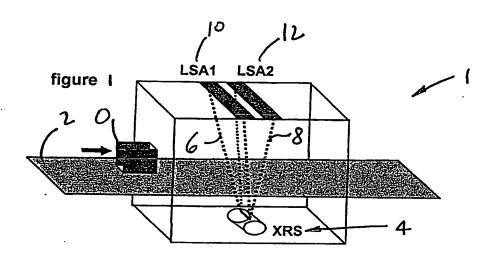
SCREENING APPARATUS

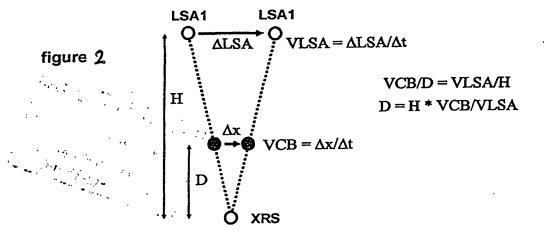
5

10

A screening device 1 for use in scanning objects for security checking or medical observation includes an X-ray source 4 providing two beams 6, 8 for projection at the object, a linear sensor array 10, 12 being provided for each beam whereby an intensity map and a motion map is generated to provide a data set from which a 3D image can be generated and viewed.

(Figure 1 to be used)





This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

DIMAGE CHECKED ATTEM POTTOM OF SIDES

BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
OTHER:

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.